AP20 Rec'd PCT/PTO 13 JUL 2006

Practitioner's Docket No. NEB-236-PUS

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of: Chudi Guan, Sanjay Kumar and Rebecca Kucera

for Modified DNA Cleavage Enzymes and Methods for Use (as amended by ISA), the specification of which is being transmitted herewith.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 2. Copies of Listed Information Items Accompanying This Statement
- 3. Identification of Person(s) Making This Information Disclosure Statement

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

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37 C.F.R. § 1.10*

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (571) 273 - 8300.

Signature

Date: July 13, 2006

Leslie Goldberg

(type or print name of person certifying)

[•] Only the date of filing ('1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under '1.8 continues to be taken into account in determining timeliness. See '1.703(f). Consider "Express Mail Post Office to Addressee" ('1.10) or facsimile transmission ('1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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Section 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

CA-CK

Section 3. Identification of Person Making This Information Disclosure JUL 2006 Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: July 13, 2006

Harriet M. Strimpel, D.Phil. Registration No. 37,008 New England Biolabs, Inc. 240 County Road Ipswich, MA 01938 978-380-7373 Customer No. 28986

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SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Guan et al.

Attorney Docket:

NEB-236-PUS

Serial No:

Not yet assigned

Art Group Unit:

Not yet assigned

Date Filed:

Herewith

Examiner Name:

Not yet assigned

Invention:

Modified DNA Cleaving Enzymes and Methods of Use (as amended)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue / Pub. Date	Inventor	Class/Subclass
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FOREIGN PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue / Pub. Date	Applicant	Class/Subclass

OTHER DOCUMENTS			
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
Initials	CA	Aravind, et al.	"Holliday junction resolvases and related nucleases: identification of new families, phletic distribution and evolutionary trajectories," Nucleic Acids Research 28(18): 3417-3432 (2000)
	СВ	Déclais, et al.	"The complex between a four-way DNA junction and T7 endonuclease I," <i>EMBO J</i> . 22:1398-1409 (2003)
	СС	Duckett, et al.	"Binding of the Junction-resolving Enzyme Bacteriophage T7 Endonuclease I to DNA: Separation of Binding and Catalysis by Mutation," J. Mol. Biol. 246:95-107 (1995)
	CD	Geer, et al.	"CDART: Protein Homology by Domain Architecture," <i>Genome Research</i> 12:1619-1623 (2002)

OTHER DOCUMENTS (Continued)				
Examiner	Reference	Author	Title of Article, Title of Journal, Volume	
Initials	Number		Number, Page Numbers, Date	
	CE	Guan, et al.	"Changing the Enzymatic Activity of T7 Endonuclease by Mutations at the \(\beta\)-Bridge Site: Alteration of Substrate Specificity Profile and Metal Ion Requirements by Mutation Distant from the Catalytic Domain," Biochemistry 43:4313-4322 (2004)	
	CF	Guan, et al.	"Activation of Glycosylasparaginase," J. Biol. Chem. 19:1732-1737 (1996)	
	CG	Hadden et al.	"Crystal structure of the Holliday junction resolving enzyme T7 endonuclease I," <i>Nature Structural Biology</i> 8(1): 62-67 (2001)	
	СН	Lilley, D.M.J.	"All change at Holliday junction," PNAS 94, 9513-9515 (1997)	
	CI	Mashal, et al.	"Detection of mutations by cleavage of DNA heteroduplexes with bacteriophage resolvases," Nat. Genet. 9:177-183 (1995)	
	CJ	Parkinson, et al.	"The Junction-resolving Enzyme T7 Endonuclease I: Quaternary Structure and Interaction with DNA," <i>J. Mol. Biol.</i> 270:169-178 (1997)	
	СК	White, et al.	"Recognition and Manipulation of Branched DNA Structure by Junction-resolving Enzymes," <i>J. Mol. Biol.</i> 269:647-664 (1997)	

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Examiner Signature:	
Date Considered:	·
	eference considered, whether or not citation is in conformance with MPEP itation if not in conformance and not considered. Include copy of this form to applicant.